or Pt onto the outermost surface as has been done with $\mathrm{p}-\mathrm{Si}^{15 \mathrm{a}}$
A final interesting point concerning $\mathrm{p}-\mathrm{WS}_{2}$ is that the photo-current-voltage data for $\mathrm{H}_{2}$ evolution in strong acid solution suggests that the photoelectrolysis of HBr according to eq 3 and 4 would be possible by using a cell employing a $\mathrm{p}-\mathrm{WS}_{2}$ photo-

$$
\begin{align*}
& 2 \mathrm{H}^{+}+2 \mathrm{e}^{-} \rightarrow \mathrm{H}_{2}\left(\text { at } \mathrm{p}-\mathrm{WS}_{2} \text { photocathode }\right)  \tag{3}\\
& \left.2 \mathrm{Br}^{-}+2 \mathrm{~h}^{+} \rightarrow \mathrm{Br}_{2} \text { (at } \mathrm{n}-\mathrm{WS}_{2} \text { photoanode }\right) \tag{4}
\end{align*}
$$

cathode and an n-WS 2 photoanode. Indeed, the data ${ }^{8}$ from $\mathrm{Br}^{-}$ photooxidation show that the $\mathrm{n}-\mathrm{WS}_{2}$ electrode gives its $\eta_{\text {max }}$ at a potential where Pd -treated p - $\mathrm{WS}_{2}$ gives its $\eta_{\text {max }}$ for $\mathrm{H}_{2}$ evolution, $\sim+0.3 \mathrm{~V} \mathrm{vs} . \mathrm{SCE}$. Thus, visible illumination of the $\mathrm{n}-\mathrm{WS}_{2}$ shorted to the Pd-treated p -WS $\mathrm{W}_{2}$ should give good efficiency for the generation of $\mathrm{Br}_{2}$ and $\mathrm{H}_{2}$, respectively, from HBr . A similar double photoelectrode-based cell, $\mathrm{p}-\mathrm{InP} / \mathrm{n}-\mathrm{WSe}_{2}$, has recently been reported. ${ }^{43}$ We find maximum initial efficiencies of $>5 \%$ with 632.8 nm illumination at $20-40 \mathrm{~mW} / \mathrm{cm}^{2}$ are obtained under short-circuit conditions for a two compartment cell with aqueous $6 \mathrm{M} \mathrm{H}_{2} \mathrm{SO}_{4} / 2 \mathrm{M} \mathrm{LiBr}$ electrolyte in both compartments. $\mathrm{Br}_{2}$, $\sim 2 \mathrm{mM}$, was added to the photoanode compartment to poise the half-cell potential. Illumination intensities were adjusted until a small reduction in the intensity at either photoelectrode gave an equivalent decrease in full cell current. Unfortunately, while we have uncovered a situation where both the $n$ - and p-type semiconductors should give optimum performance in the same medium, the $\mathrm{WS}_{2}$ double photoelectrode-based cell for HBr electrolysis rapidly loses efficiency, owing to deterioration in performance of the photocathode. This result underscores the need to elaborate the conditions under which good performance for n and p-type semiconductor electrodes can be simultaneously sustained.

## Conclusions

Single-crystal p-type $\mathrm{WS}_{2}$ photocathodes have a good wavelength response ( $E_{\mathrm{g}} \approx 1.3 \mathrm{eV}$ ), a good output photovoltage (up to $\sim 0.8 \mathrm{~V}$ ), and are durable in a variety of solvent/electrolyte/redox couple combinations. Demonstrated overall efficiencies
(43) Levy-Clement, C.; Heller, A.; Bonner, W. A.; Parkinson, B. A. J. Electrochem. Soc. 1982, 129, 1701.
for the sustained conversion of $632.8-\mathrm{nm}$ light to electricity exceeds $7 \%$, and Pd - or Pt-treated p - $\mathrm{WS}_{2}$-based cells evolve $\mathrm{H}_{2}$ from acidic solutions with similar ( $\sim 7 \%$ ) efficiency at input visible light power densities of $>50 \mathrm{~mW} / \mathrm{cm}^{2}$. The $p-\mathrm{WS}_{2}$ is relatively free of surface states that would cause Fermi level pinning. A key point supporting this is that the dark oxidation of reduced species generally occurs positive of $E_{\mathrm{FB}}$ for couples having $E_{1 / 2}$ in a potential regime where the surface is not inverted. Over a wide range of $E_{\text {redox }}$, the $\mathrm{p}-\mathrm{WS}_{2}$ behaves ideally in that the photovoltage depends on $E_{\text {redox }}$ in a manner consistent with a surface state-free interface. Sufficiently negative $E_{\text {redox }}$ is associated with carrier inversion and, ultimately, junction breakdown of the $\mathrm{WS}_{2}$ /liquid interface. Good agreement is obtained between interfacial energetic measurements from cyclic voltammetry, interface capacitance, and steady-state photocurrent-voltage curves.
Acknowledgment. Work at M.I.T. was supported by GTE Laboratories, Inc., and by the U.S. Department of Energy, Office of Basic Energy Sciences, Division of Chemical Sciences. D.J.H. acknowledges support as an NSERC Fellow, 1980-present, and A.J.R. acknowledges support as an NPW Fellow, 1982-1983.

Registry No. WS ${ }_{2}$, 12138-09-9; $\mathrm{H}_{2}$, 1333-74-0; $\mathrm{H}_{2} \mathrm{O}, 7732$-18-5; Pd, 7440-05-3; Pt, 7440-06-4; [MPT] ${ }^{+}$., 34510-35-5; MPT, 1207-72-3; [TMPD] ${ }^{2+}$, 34527-56-5; [TMPD] ${ }^{+}$., 34527-55-4; [TTF] ${ }^{2+}$, 35079-57-3; $[\mathrm{TTF}]^{+}, 35079-56-2 ;\left[\mathrm{Fe}\left(\eta^{5}-\mathrm{C}_{6} \mathrm{H}_{5}\right)\left(\eta^{3}-\mathrm{C}_{5} \mathrm{H}_{4} \mathrm{CH}_{2} \mathrm{~N}\left(\mathrm{CH}_{3}\right)_{3}\right)\right]^{2+}, 51150-$ $57-3$; $\left[\mathrm{Fe}\left(\eta^{5}-\mathrm{C}_{6} \mathrm{H}_{5}\right)\left(\eta^{5}-\mathrm{C}_{5} \mathrm{H}_{4} \mathrm{CH}_{2} \mathrm{~N}\left(\mathrm{CH}_{3}\right)_{3}\right)\right]^{+}, 33039-48-4 ;$ TTF, 31366-25-3; TCNE, 670-54-2; [TCNE] ${ }^{-}$., 34512-48-6; TCNQ, 1518-16-7; [TTNQ] ${ }^{-}$, 34507-61-4; [TCNQ] ${ }^{2-}$, 48161-40-6; [MV] ${ }^{2+}$, 4685-14-7; $[\mathrm{MV}]^{+}, 25239-55-8 ; \mathrm{Ru}(\mathrm{acac})_{3}, 14284-93-6 ;\left[\mathrm{Ru}(\mathrm{acac})_{3}\right]^{-}, 66560-52-9 ;$ MV, 25128-26-1; BAQ, 84-47-9; [BAQ] ${ }^{-}$, 77898-33-0; [BAQ] ${ }^{2-}$, 84878-05-7; $\left[\mathrm{IrCl}_{6}\right]^{2-}, 16918-91-5 ;\left[\mathrm{IrCl}_{6}\right]^{3-}, 14648-50-1 ;\left[\mathrm{Mo}(\mathrm{CN})_{8}\right]^{3-}$, 17845-99-7; $\left[\mathrm{Mo}(\mathrm{CN})_{8}\right]^{4-}, 17923-49-8 ;\left[\mathrm{Fe}(\mathrm{CN})_{6}\right]^{3-}, 13408-62-3 ;[\mathrm{Fe}-$ $\left.(\mathrm{CN})_{6}\right]^{4-}, 13408-63-4 ;\left[\mathrm{Ru}\left(\mathrm{NH}_{3}\right)_{6}\right]^{3+}, 18943-33-4$; $\left[\mathrm{Ru}\left(\mathrm{NH}_{3}\right)_{6}\right]^{2+}$, 19052-44-9; $\left[\mathrm{Ru}\left(\mathrm{NH}_{3}\right)_{6} \mathrm{Cl}_{3}\right], 14282-91-8 ; \mathrm{H}_{2} \mathrm{SO}_{4}, 7664-93-9 ; \mathrm{I}_{3}{ }^{-}$, 14900-04-0; $\mathrm{I}^{-}$, 20461-54-5; [thianthrene] ${ }^{+}$., 34507-27-2; thianthrene, 92-85-3; [1,1'-diacetylferrocene] ${ }^{+}$, 12277-02-0; 1, $1^{\prime}$-diacetylferrocene, 1273-94-5; [acetylferrocene] ${ }^{+}, 32662-25-2$; acetylferrocene, 1271-55-2; [ferrocene] ${ }^{+}$, 12125-80-3; ferrocene, 102-54-5; chloranil, 118-75-2; [chloranil] ${ }^{-}$-, 17217-66-2; [decamethylferrocene] ${ }^{+}$, 54182-41-1; decamethylferrocene, 12126-50-0; [chloranil] ${ }^{2-}$, 55976-90-4; [Ru(2,2'-bipyridine $\left.)_{3}\right]^{2+}$, 15158-62-0; $\left[\mathrm{Ru}\left(2,2^{\prime} \text {-bipyridine }\right)_{3}\right]^{+}, 56977-24-3 ; \mathrm{Ru}-$ (2,2'-bipyridine) ${ }_{3}, 74391-32-5 ;\left[\mathrm{Ru}\left(2,2^{\prime} \text {-bipyridine }\right)_{3}\right]^{-}, 56977-23-2 ; \mathrm{KCl}$, 7447-40-7.

# Non-Koopmans' Theorem Effects in the He I Photoelectron Spectra of Polyenes 

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#### Abstract

The predictions of the Koopmans' theorem MNDO model and the non-Koopmans' theorem (NKM) model for the photoelectron spectra of $p$-quinodimethane and its 2,5 -dimethyl and perfluoro derivatives are discussed. The results of $\mathrm{HAM} / 3$-CI calculations support the NKM interpretation and indicate the weak feature at 13.4 eV in the PE spectrum of $1,1,4,4$-tetrafluorobutadiene can be assigned to the lowest KTM "forbidden" transition in this case.


Dewar has recently ${ }^{1}$ provided a critical discussion of our experimental results and of our interpretation of the He I photoelectron spectra of $p$-quinodimethane (1) ${ }^{2}$ and its 2,5 -dimethyl
(1) Dewar, M. J. S. J. Am. Chem. Soc. 1982, 104, 1447.
(2) Koenig, T.; Wielesek, R. A.; Snell, W.; Balle, T. J. Am. Chem. Soc. 1975, 97, 3225.
derivative (2). ${ }^{3}$ In doing so he has provided an excellent focus on the question of the applicability of Koopmans' theorem ${ }^{4}$ as an interpretive model (KTM) for the UV-PE spectra of such compounds. As Dewar points out, a distinction between the KTM

[^0]
and our non-Koopmans' interpretation (NKM) is important and we believe it can be provided by suitable experimental investigations. (We presently use the language of molecular-orbital theory though our original discussion ${ }^{2}$ of the spectrum of 1 was in terms of the structure-representation basis.) However, it is necessary to remove some misrepresentations of the NKM that are present in the Dewar rendition so that the stage can be properly set for an experimental resolution of the question. We presently wish to provide the necessary clarification and to report some results of $\mathrm{HAM} / 3-\mathrm{Cl}^{5 \mathrm{a}}$ calculations on ionic states on even alternate polyenes and their derivatives.

We agree with Dewar in his statement that "no ionization can be forbidden". ${ }^{1}$ However, our use of the term "forbidden" was referenced to the situation implicit in the KTM which Dewar adopts. That model assumes the neutral ground-state wave function is represented by a single configuration ( $\psi^{0}=\psi\left(i^{2} \ldots\right.$ $j^{2} \ldots k^{2}$ ), $i-k$ occupied molecular orbitals). Each PE accessible ionic state is also taken as being expressible by a single configuration in which one of the doubly occupied orbitals has become singly occupied $\left(\psi_{j}^{+}=\psi\left(i^{2} \ldots j^{1} \ldots k^{2}\right)\right)$ with the added restriction that the molecular orbitals of the ion are identical with those of the neutral precursor (frozen orbital approximation). In the KTM, each observed $\pi$ PE band is thus associated with promotion of a single electron from the appropriate doubly occupied set ( $i-$ $k$ ) of the neutral system to the free-electron function ( $f$ ). All of these single-particle excitations are "allowed" without regard to symmetry of the orbital being vacated. The cross sections for transitions to this highly restricted group of the $\pi$-ionic states are expected to be nearly constant (with He I radiation) since variations in proximity to their respective thresholds are not very large. The ratio of areas among the $\pi$ PE spectral bands should thus be close to unity in the KTM as shown in eq 1 , where $A$ denotes area, $T$

$$
\begin{equation*}
\frac{A_{\mathrm{a}}}{A_{1}}=\left[\frac{\langle\| T \mid j\rangle}{\langle f| T|k\rangle} \frac{\left\langle i^{2} \ldots j^{1} \ldots k^{2} \mid i^{2} \ldots j^{1} \ldots k^{2}\right\rangle}{\left\langle i^{2} \ldots j^{2} \ldots k^{1} \mid i^{2} \ldots j^{2} \ldots k^{1}\right\rangle}\right]^{2}=\left[\frac{M_{j} 1}{M_{k^{1}}}\right]^{2}=1 \tag{1}
\end{equation*}
$$

denotes the 1 -electron transition-dipole operator, and $i, j$, and $k$ are occupied $\pi$-orbital indices. We will term these Koopmans' theorem "allowed" configurations KTa, where $a=1+(k-j)$, $j$ being the vacated orbital which may vary from $i$ to $k$.

The essence of the distinction between the KTM and NKM can be seen most easily by retaining both the single-configuration representations and the frozen-orbital conditions as the zero-order approximation. In the most primitive version, ${ }^{56}$ the NKM considers the lowest ionic configuration with two-electron promotion, i.e., one electron from the HOMO $(k)$ to the free-electron function $(f)$ and the second from $k$ to the LUMO ( $l$ ). Such a two-particle excitation can be called "forbidden" because of the orthogonality in the electronic overlap integrands left after the moment integral ( $\langle\| T \mid k\rangle=M_{k}$ ) has been evaluated (eq 2). We will denote this lowest Koopmans' theorem "forbidden" configuration $\mathrm{KT}_{1}{ }^{*}$.

$$
\begin{array}{r}
A_{\psi^{0} \rightarrow \psi *\left(i^{2} \ldots j^{2} \ldots k^{0} l^{1} f^{1}\right)=\left[M_{k} \cdot\left\langle i^{2} \ldots j^{2} \ldots k^{1} \mid i^{2} \ldots j^{2} \ldots k^{0} l^{1}\right\rangle\right]^{2}=}^{M_{k}^{2} \cdot 0=0}
\end{array}
$$

The NKM offers a more general picture of PES because it allows for the intensity borrowing effects which attend configuration interaction (CI) mixing of $\mathrm{KT}_{1}{ }^{*}$ with the $\mathrm{KT}_{a}$ 's. The theory

[^1]Table I. Primitive NKM Rationalization of the Lowest ${ }^{2} \mathrm{~B}_{2 \mathrm{~g}}$ Bands ${ }^{a}$ in the He I PE Spectrum of 1

| basis | areas (relative) |  | ${ }^{2} \mathrm{~B}_{2 \mathrm{~g}}(+)$ positions, eV |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | ${ }^{2} \mathrm{~B}_{2 \mathrm{~g}}(-)$ | ${ }^{2} \mathrm{~B}_{2 \mathrm{~g}}(+)$ | KTM | $\Delta E_{\mathrm{CI}}$ | NKM |
| MNDO ${ }^{1}$ | 0.41 | 0.59 | 10.7 | +0.7 | 11.4 |
| ref $3^{6}$ | 0.31 | 0.69 | $10.5^{\text {c }}$ | +0.4 | 10.9 |
| PPP ${ }^{10}$ | 0.32 | 0.68 |  |  |  |
| HAM/3/CI | 0.34 | 0.66 | 10.6 | +0.5 | 11.1 |

${ }^{a}$ Using 9.7 eV as the position of the lower ( $\left.{ }^{2} \mathrm{~B}_{2 \mathrm{~g}}(-)\right) 2 \times 2 \mathrm{CI}$ stationary state. $b$ Using the published ${ }^{3}$ value for $a^{+}$and the present (over-simplified $2 \times 2 \mathrm{CI}$ ) model. ${ }^{c}$ Structure representation ${ }^{2}$ value.
of CI of doublets ${ }^{6}$ imposes two conditions. One is that symmetry of a $\mathrm{KT}_{\mathrm{a}}$ which mixes with $\mathrm{KT}_{1}{ }^{*}$ must be the same as that of a $\mathrm{KT}_{1}{ }^{*}$. For even alternate polyenes this means that $\mathrm{KT}_{1}{ }^{*}$ will not perturb $\mathrm{KT}_{1}$ (the ground state for the ion in the present simple version of the model).

The second condition is that the energy of the $K T_{a}$ 's of appropriate symmetry must be close to that of $\mathrm{KT}_{1}{ }^{*}$ (compared to the CI matrix element ${ }^{7}$ connecting them). In the simplest case in which $\mathrm{KT}_{1}{ }^{*}$ mixes with one $\mathrm{KT}_{a}$, the $2 \times 2 \mathrm{CI}$ for the ion yields ${ }^{7}$ a low-energy ionic stationary state $\left(\psi_{-}^{+}\right)$and a high-energy ionic stationary state $\left(\psi_{+}^{+}\right)$expressed as shown

$$
\psi_{-}^{+}=\left(a^{+}\right) \mathrm{KT}_{a}-\left(b^{+}\right) \mathrm{KT}_{1}^{*} \quad \psi_{+}^{+}=\left(b^{+}\right) \mathrm{KT}_{a}+\left(a^{+}\right) \mathrm{KT}_{1}^{*}
$$

where $a^{+}$and $b^{+}$are the coefficients resulting from the CI linear variational procedure for the ion. The areas of the PE transitions to these two ionic states, relative to that for a pure $\mathrm{KT}_{a}$ state (such as $\mathrm{KT}_{1}$ in the present approximation), will be ${ }^{2,3}$

$$
\left.\frac{A_{\psi^{0} \rightarrow \psi_{-}^{+}}}{A_{\psi^{0} \rightarrow \mathrm{KT}_{1}}}=\left(a^{+}\right)^{2} \quad \frac{A_{\psi^{0} \rightarrow \psi_{+}^{+}}}{A_{\psi^{0} \rightarrow \mathrm{KT}}^{1}} \right\rvert\,=\left(b^{+}\right)^{2}
$$

Normalization indicates that $\left(a^{+}\right)^{2}+\left(b^{+}\right)^{2}=1$. Thus, this primitive NKM predicts a sharing of one unit of PE spectral area between two ionic states, i.e., two relatively weak spectral bands above and below the position of the single band (of unit area) predicted by the KTM.
The validity of our NKM interpretation of the spectra of $\mathbf{1}$ and 2 depends on $\mathrm{KT}_{1}{ }^{*}$ being energetically near $\mathrm{KT}_{3}$ in each case. Dewar argues that $\mathrm{KT}_{1}{ }^{*}$ should be ca. 12.2 eV (relative to neutral 1). His arguments used the observed optical transition energy for neutral $1(4.1 \mathrm{eV})^{1,9}$ or the calculated (MNDO) energy gap between the HOMO and the lowest virtual orbital of $\mathbf{1}$ and $\mathbf{1}^{+}$ ( 7.6 and 7.1 eV , respectively ${ }^{1}$ ). The optical transition energy ${ }^{9}$ for neutral 1 gives a high estimate for the $\mathrm{KT}_{1}{ }^{*}-\mathrm{KT}_{1}$ energy difference because this observed transition contains the addition of the singlet-triplet splitting integral ( +1.8 eV in $\mathrm{HAM} / 3$ ). The adjusted estimate of the energy of $\mathrm{KT}_{1}{ }^{*}$ could thus be put near $10.4 \mathrm{eV}(12.2-1.8)$, a little lower than that of $\mathrm{KT}_{3}$ (as given by MNDO, 10.7 eV ). ${ }^{1}$

Using these two estimates for the diagonals in the $2 \times 2 \mathrm{CI}$ ( 10.4 and 10.7 eV ) and 9.7 eV as the final position of the lower member ( $\psi_{-}^{+}={ }^{2} \mathrm{~B}_{2 \mathrm{~g}}(-)$ ) for 1 , one obtains the values for $\left(a^{+}\right)^{2}$, $\left(b^{+}\right)^{2}$, and the CI shift $(\Delta E)$ for the upper member of the $2 \times$ 2 CI pair $\left(\psi_{+}^{+}={ }^{2} \mathrm{~B}_{28}(+)\right)$ shown in the first row of Table I. They are compared with our published ${ }^{3}$ values, those from a subsequent Pariser-Parr-Pople calculation, ${ }^{10}$ and those of the present HAM/3-CI formalism. All of these methods agree qualitatively in predicting the third $\pi$ (KTM) band in 1 should be split into

[^2]Table II. Calculated ${ }^{a}$ and Observed ${ }^{2,3}$ PE Band Positions (eV) and Intensities

| $1\left(D_{2 h}\right)$ |  |  | $2\left(C_{2 h}\right)^{14}$ |  | $3\left(D_{2 h}\right)$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| HAM/3 ${ }^{\text {b }}$ | $\Delta E_{\text {CI }}$ (int.) | obsd (int.) ${ }^{2}$ | $\Delta E_{\mathrm{CI}}$ (int.) | obsd (int.) ${ }^{3}$ | $\Delta E_{\text {CI }}$ (int.) |
| $7.85{ }^{2} \mathrm{~B}_{3 \mathrm{u}}$ | 7.92 (1.0) | 7.87 (1.0) | 7.77 (1.0) | 7.58 (1.0) | 9.0 (1.0) |
| $9.51{ }^{2} \mathrm{~B}_{1 \mathrm{~g}}$ | 9.41 (1.0) | 9.7 (1.2) | 8.91 (1.0) | 8.97 (0.9) | 10.3 (1.0) |
| $(10.30)^{c}{ }^{2} \mathrm{~B}_{2 \mathrm{~g}}{ }^{*}$ | $9.70^{c}(0.4) *$ | 9.7 (1.2) | $9.62^{c}(0.4)^{*}$ | 9.80 (0.5)* | $10.9^{c}(0.3)^{*}$ |
| $10.41{ }^{2} \mathrm{~B}_{2 \mathrm{~g}}$ | 11.02 (0.6) |  | 10.78 (0.6) | 10.9 | 12.0 (0.7) |
| $11.58{ }^{\text {a }}$ | 11.37 (1.0) | 11.4 | $\sigma 11.09$ (1.0) | 10.9 | 12.4 (1.0) |
| $11.92{ }^{2} \mathrm{~B}_{3 \mathrm{u}}$ | 11.85 (1.0) |  | 11.22 (1.0) |  | - 13.6 (1.0) |

${ }^{a}$ Geometries were essentially those given in ref 1 except for 3 where the $C-F$ bond length was 1.32 A and the two $\mathrm{C}-\mathrm{C}$ bond lengths were $1.33 \AA$ (double) and $1,48 \AA$ (single). ${ }^{\circ}$ The standard $\mathrm{HAM} / 3-\mathrm{PES}^{5}$ calculational results. ${ }^{c} \mathrm{KT}_{1}{ }^{*}$.


Figure 1. Calculated positions and intensities (in parenthesis) for 1,3butadiene ${ }^{19}$ and 1,1,4,4-tetrafluorobutadiene. Note the contribution of $\mathrm{KT}_{1}{ }^{*}$ for 1,3-butadiene is not resolved from the major band area assigned to the transitions to allowed $\alpha$ states of the same transition energy.
a weak lower member and a stronger upper member (of less than unit spectral intensity). We take strong exception to Dewar's statement ${ }^{1}$ that our assignments "must therefore be rejected because they do not account for the low intensities of the postulated third ionizations" ${ }^{11-13}$

[^3]Table II shows perliminary results of a more extensive semiempirical CI model that is under development here and that is based on the HAM/3 method of Lindholm. These calculations, at present, examine the effects of the lowest 75 configurations of the ion. The results for $\mathbf{1}$ and $\mathbf{2}$ are in reasonable accord with the observations. They appear to confirm the NKM interpretation. Table II also contains the predicted spectrum of 3 . The important feature of this prediction is the division of the spectral area for $\mathrm{KT}_{3}$ between two band maxima. The perfluoro effect ${ }^{15}$ should raise the lowest $\sigma$ ion state of $\mathbf{3}$ above all five observable $\pi$ ionizations predicted by the NKM. The distinction between the KTM and NKM seems clear enough to allow an experimental test and we are presently exploring this possibility.

Finally, we also take exception to Dewar's statement that "no such (NKM or $I+E$ ) bands have been reported". Herrick ${ }^{16}$ has recently considered the ions of linear polyenes from a purely group theoretical basis and called attention to the weak feature at 13.4 eV (Figure 1) in the experimental spectrum of 1,1,4,4-tetrafluorobutadiene. ${ }^{17}$ His approach confirms the early conclusions of Schwieg ${ }^{18}$ (using CI methods) and the subsequent Green's function ${ }^{19}$ results in predicting important correlation effects on the position and intensity of the second $\pi$ band in butadiene. The present HAM/3-CI method supports the notion that these effects will be almost the same for planar fluorocarbons as for their hydrocarbon analogues.

In summary, we have shown the NKM model is supported by HAM/3-CI calculations for 1 and 2. These effects are proposed to be very similar for planar fluorocarbons and hydrocarbons. The PE spectrum of 3 is predicted in advance of its measurement. The NKM effects will probably be most evident in unstable species such as 1-3 but are important in other stable polyenes such as butadiene.

Acknowledgment. We are grateful to the National Science Foundation for financial support of this work. It is also a pleasure to acknowledge the fruitful discussions on this general topic with Prof. Armin Schweig in April of 1981 and the help of our col-
(12) (a) A very similar model has been used in the analysis of the optical spectra of radical cations. ${ }^{12 \mathrm{~b}}$ (b) Forster, P.; Gswind, R.; Haselbach, E.; Klemm, U.; Wirz, J. Nouv. J. Chim. 1980, 4, 365. Haselbach, E.; Klemm, U.; Buser, U.; Gswind, R.; Jungen, M.; Kloster-Jensen, E.; Maier, J.; Marthaler, O.; Christen, H.; Baertschi, P. Helv. Chim. Acta 1981, 64, 823-834.
(13) (a) Dêwar seems to suggest that the weak band at 9.8 eV in the published ${ }^{3}$ spectrum of $\mathbf{2}$ is due to contamination by the related $2,4,5-\mathrm{tri}-$ methylbenzyl radical. Purity of the furnace effluent is of constant concern in this work which is why our equipment ${ }^{136}$ was designed to allow product studies. For 1 the expected ${ }^{9}$ polymer was identified by infrared comparison. (b) Imre, Daniel; Koenig, T. Chem. Phys. Lett. 1980, 73, 62.
(14) The symmetry and term symbols for 2 in ref 1 are scrambled. Those of Table I of ref 1 are apparently derived from the incorrect $C_{2 v}$ point group though the correct $C_{2 h}$ point group is mentioned with the table. However, $C_{2}$ symmetry is indicated by the (partial) structure for 2.
(15) Brundle, C. R.; Robin, M. B.; Kuebler, N. A.; Basch, H. J. Am. Chem. Soc. 1972, 94, 1457. Brundle, C. R.; Robin, M. B.; Kuebler, N. A. Ibid. 1972, 94, 1466 .
(16) Herrick, David R. J. Chem. Phys. 1981, 74, 1239-1255.
(17) Brundle, C. R.; Robin, M. B. J. Am. Chem. Soc. 1970, 92, 5550.
(18) Lauer, G.; Schulte, K.; Schweig, A. Chem. Phys. Lett. 1975, 32, No. 1, 163 .
(19) Von Niessen, W.; Bieri, G.; Schirmer, J.; Cederbaum, L. S. Chem. Phys, 1982, 65, 157-76 and references therein. Cederbaum, L. S.; Domcke, W.; Schirmer, J. J. Chem. Phys. 1978, 69, 1591.
leagues Prof. David Herrick and Prof. Paul Engelking along with the instigating influence of William T. Simpson.

Note in Added Proof: Since the submission of this manuscript Prof. Schweig has informed us of additional MO-CI results that are consistent with an NKM interpretation of the spectra of 1 and 2 as well as a number of other related systems. He also
reminded us of his first private communication of the calculated CI effect in $\mathbf{1}^{+}$in April of 1981. The publication of these results should appear shortly.

Registry No. 1, 502-86-3; 2, 63238-49-3; 3, 84694-70-2; 1,1,4,4tetrafluorobutadiene, 407-70-5.

# Electronegativity and Bond Energy 

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#### Abstract

Recognition of quantitative relationships among atomic structure, electronegativity, nonpolar covalent radius, and homonuclear bond energy has permitted a complete revision of electronegativities of all major group elements and a more accurate evaluation of their radii and homonuclear bond energies. The new values have been tested by application to calculating the bond energies in practically all binary compounds of major group elements with halogen, oxygen, sulfur, and positive hydrogen and many other inorganic compounds having more than one kind of bond per molecule. In agreement with the quantitative theory of polar covalence, the calculated energies agree with experimental values within probable limits of experimental error for most of the 304 compounds studied.


Electronegativities of all the active major group elements, including zinc, cadmium, and mercury, have been completely revised on the basis of a study of the interrelationships among atomic structure, nonpolar covalent radius, electronegativity, and homonuclear covalent bond energy. The revised values have been thoroughly tested by application to the calculation of bond energies in more than 300 inorganic compounds containing about 400 kinds of bonds. These compounds, both nonmolecular solids and gaseous molecules, include nearly all of the binary compounds of positive hydrogen, binary halides, oxides, and sulfides of the major group elements for which adequate experimental data are available. ${ }^{1-7}$ Also included are 85 compounds each consisting of more than one kind of bond: mixed halides, oxyhalides, hydroxy acids, and a wide variety of miscellaneous compounds. Agreement between calculated and experimental bond energy for most of these compounds is on the average within probable experimental error. Greater differences found for $15 \%$ of the compounds studied are believed to result most probably from experimental error in determination of the heats of formation. This paper presents (Table I) the new electronegativity values, together with revised nonpolar covalent radii, homonuclear bond energies, and the electronegativity changes corresponding to the acquisition of unit charge. It also describes the details of revision and discusses the interrelationships among fundamental atomic properties.

Polar Covalence. The quantitative theory of polar covalence has been presented in detail elsewhere. ${ }^{8-11}$ It will be reviewed very briefly here to emphasize an important aspect of the validity of this work. A polar covalent bond is treated as a blend of the two extremes, nonpolar covalence and complete ionicity. The

[^4]Table I. Electronegativities, Covalent Radii, and Homonuclear Bond Energies

| element | $S$ | $\Delta S_{i}$ | $r_{\text {c }}$ | $E^{\prime \prime}$ | E" | $E^{\prime}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| H | 2.592 | 2.528 | 32.0 | 104.2 |  |  |
| Li | 0.670 | 1.285 | 133.6 | 24.6 |  |  |
| Be | 1.810 | 2.112 | 88.7 | 67.6 |  |  |
| B | 2.275 | 2.368 | 82.2 | 76.7 |  |  |
| C | 2.746 | 2.602 | 77.2 | 85.4 |  |  |
| N | 3.194 | 2.806 | 73.4 | 94.9 | 66.9 | 38.8 |
| 0 | 3.654 | 3.001 | 70.2 | 104.0 | 68.8 | 33.6 |
| F | 4.000 | 3.140 | 68.1 | 113.1 | 76.8 | 40.5 |
| Na | 0.560 | 1.175 | 153.9 | 16.4 |  |  |
| Mg | 1.318 | 1.802 | 137.3 | 42.3 |  |  |
| Al | 1.714 | 2.055 | 125.8 | 48.2 |  |  |
| Si | 2.138 | 2.296 | 116.9 | 54.1 |  |  |
| P | 2.515 | 2.490 | 110.7 | 60.0 | 56.9 | 53.7 |
| S | 2.957 | 2.700 | 104.9 | 65.9 | 60.4 | 54.9 |
| Cl | 3.475 | 2.927 | 99.4 | 71.8 | 64.9 | 58.0 |
| K | 0.445 | 1.047 | 196.2 | 13.1 |  |  |
| Ca | 0.946 | 1.527 | 174 | 30.8 |  |  |
| Cu | 2.033 | 2.239 | 133.1 | 31.3 |  |  |
| Zn | 2.223 | 2.341 | 129.2 | 35.8 |  |  |
| Ga | 2.419 | 2.442 | 125.6 | 40.3 |  |  |
| Ge | 2.618 | 2.540 | 122.3 | 44.8 |  |  |
| As | 2.816 | 2.635 | 119.4 | 49.3 | 45.1 | 40.9 |
| Se | 3.014 | 2.726 | 116.7 | 53.8 | 46.0 | 38.2 |
| Br | 3.219 | 2.817 | 114.2 | 58.3 | 52.2 | 46.1 |
| Rb | 0.312 | 0.877 | 216 | 12.4 |  |  |
| Sr | 0.721 | 1.333 | 191 | 24.6 |  |  |
| Ag | 1.826 | 2.122 | 153.3 | 27.7 |  |  |
| Cd | 1.978 | 2.208 | 149.3 | 30.4 |  |  |
| In | 2.138 | 2.296 | 145.5 | 33.1 |  |  |
| Sn | 2.298 | 2.380 | 142.0 | 35.8 |  |  |
| Sn (II) | 1.477 | 1.908 | 142.0 | 35.8 |  |  |
| Sb | 2.458 | 2.461 | 138.9 | 38.5 | 35.8 | 33.0 |
| Te | 2.618 | 2.540 | 136.0 | 41.2 | 39.2 | 37.2 |
| I | 2.778 | 2.617 | 133.3 | 43.9 | 40.0 | 36.1 |
| Cs | 0.220 | 0.736 | 235 | 10.8 |  |  |
| Ba | 0.651 | 1.267 | 198 | 22.2 |  |  |
| Hg | 2.195 | 2.326 | 150.0 | 8.6 |  |  |
| Tl | 2.246 | 2.353 | 149.0 | 16.6 |  |  |
| Tl(I) | 0.987 | 1.560 | 149 | 16.6 |  |  |
| Pb | 2.291 | 2.376 | 148 | 24.2 |  |  |
| Pb (II) | 1.900 | 2.164 | 148 | 24.2 |  |  |
| Bi | 2.342 | 2.403 | 147 | 32.2 |  |  |

energy of the nonpolar covalent form, $E_{\mathrm{c}}$, is simply the geometric mean of the two homonuclear covalent bond energies, corrected for any difference between the actual bond length, $R_{0}$, and the


[^0]:    (3) Koenig, T.; and Southworth, S. J. Am. Chem. Soc. 1977, 99, 2807.
    (4) Koopmans, T. Physica (Utrecht) 1934, l, 104

[^1]:    (5) (a) Åsbrink, L.; Fridh, C.; Lindholm, E. Chem. Phys. Lett. 1977, 52, 69. Åsbrink, L.; Fridh, C.; Lindholm, E.; de Bruijn, S.; Chong, D. P. Phys. Scr. 1980, 22, 475-82. Koenig, T.; Imre, Daniel; Hoobler, James A. J. Am. Chem. Soc. 1979, 101, 6446. (b) The completion of the molecular-orbital formalism requires a linear combination of configurational functions for both the neutral precursor and the ions.

[^2]:    (6) Salem, L. "Molecular Orbital Theory of Conjugated Systems";; W. A. Benjamin: Reading, Mass., 1966; pp 435-443.
    (7) We assume the off-diagonal CI matrix element to be positive.
    (8) Our term symbols with "superscript 2 " are related to the axis shown ${ }^{2}$ by the structure labeled $D_{2 h}$ with the molecular plane taken as the $y z$. Dewar's term symbols ${ }^{1}$ use $x y$ as the molecular plane. His $b_{1 g}$ orbital at 11.76 eV is $\sigma$ and not $\pi$ as indicated. ${ }^{1}$
    (9) Pearson, J. M.; Six, H. A.; Williams, D. J.; Levy, M. J. Am. Chem. Soc. 1971, 93, 5034-5040.
    (10) Private communication from Josef Michl; we are grateful to Professor Michl and the Utah group for sharing this result on the doublet of 1.

[^3]:    (11) Part of the basis of this statement appears to arise from confusing the intensity sharing effect described in our analysis ${ }^{2,3}$ with the related CI effects in the optical spectrum of the ion. ${ }^{12 a}$ In the present model, the transition from the electronic ground state of the ion $\left(\mathrm{KT}_{1}\right)$ to ${ }^{2} \mathrm{~B}_{2 \mathrm{~g}}(-)$ would be much weaker than that to ${ }^{2} \mathrm{~B}_{2_{g}}(+)$ because of the partial cancellation of two similar transition moments associated with the negative sign. The optical transitions from $\mathrm{KT}_{1}$ to $\mathrm{KT}_{3}$ and $\mathrm{KT}_{1}{ }^{*}$ are both single-particle excitations which distinguishes the optical spectrum case from the PE spectrum case. This distinction was lost in Dewar's account.

[^4]:    (1) Kerr, J. A.; Trotman-Dickenson, A. F. "Handbook of Chemistry and Physics", 61st ed.; Chemical Rubber Co., Cleveland, OH 1980-81; p F232.
    (2) Stull, D. R.; Prophet, H. "JANAF Thermochemical Tables", 2nd ed.; Dow Chemical Co.: Midland, MI, 1971.
    (3) Chase, W., Jr.; Curnutt, J. L.; McDonald, R. A.; Syverud, A. N. J. Phys. Chem. Ref. Data 1978, 7, 793.
    (4) Wagman, D. D. et al., NBS Tech., Note (U.S) 270-3 (1968), 270-4 (1969), 270-6 (1971), 270-8 (1981).
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    (6) Spec. Publ. - Chem. Soc. 1958, No. 11.
    (7) Spec. Publ. - Chem. Soc. 1965, No. 18.
    (8) Sanderson, R. T., Inorg. Nucl. Chem. 1966, 28, 1553-1 565.
    (9) Sanderson, R. T. Inorg. Nucl. Chem. 1968. 30, 375-393.
    (10) Sanderson, R. T. "Chemical Bonds and Bond Energy"; Academic Press: New York, 1971.
    (11) Sanderson, R. T. "Chemical Bonds and Bond Energy", 2nd ed., 1976.

